

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION  
USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

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PTO/SB/81 (02-01)  
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|--|-------------------------------|---|
| <b>POWER OF ATTORNEY OR<br/>AUTHORIZATION OF AGENT</b> | <b>Application Number</b>     | 09/844,293  |
|  | <b>Filing Date</b>            | April 26, 2001  |
|  | <b>First Named Inventor</b>   | Bothra, Subhas  |
|  | <b>Title</b>                  | Structure and Method to Increase<br>Density of MIM Capacitors in<br>Damascene Process |
|  | <b>Group Art Unit</b>         |   |
|  | <b>Examiner Name</b>          |   |
|  | <b>Attorney Docket Number</b> | 20408-001200US  |

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☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

**SIGNATURE of Applicant or Assignee of Record**

Name Anil Aggarwal, President, ZEEVO, Inc.

Signature

Date

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

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Patents, Washington, DC 20231. PA 3151421 v1

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Subhas BothraApplication No./Patent No.: 09/844,293Filed/Issue Date: April 26, 2001Entitled: Structure and Method to Increase Density of MIM Capacitors in Damascene ProcessZEEVO, Inc., a corporation of Delaware

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To : \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

6/18/01  
Date

Anil  
Signature

Anil Aggarwal  
Typed or printed name

President  
Title

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**COPY**

Attorney Docket No.: 020408-001200US  
Client Reference No.: PN010-00

**ASSIGNMENT OF PATENT APPLICATION**

SOLE

WHEREAS, **Subhas Bothra**, of 230 Prairie Dog Lane, Fremont, California 95049; hereinafter referred to as "Assignor," is the inventor of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:       **Structure and Method to Increase Density of MIM Capacitors in Damascene Process**

Filing Date:               **April 26, 2001**

Application No.:       **09/844,293; and**

WHEREAS, **ZEEVO, Inc.**, a corporation of the State of Delaware, located at 2500 Condensa Avenue, Santa Clara, California 95051, hereinafter referred to as "Assignee," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has assigned, and by these presents does assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignor had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees that Assignor will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignor has signed his name on the date indicated.

Dated: 6/18/01

Subhas Bothra  
Subhas Bothra

Witnesses:

Wayne Shubert  
A-11

PA 3151413 v1